



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Pasqualoni et al.

Serial No.: 10/724,786

Filed: December 1, 2003

For: A CHEMICAL MECHANICAL POLISHING SLURRY  
COMPOSITION FOR POLISHING CONDUCTIVE AND  
NON-CONDUCTIVE LAYERS ON SEMICONDUCTOR  
WAFERS

Art Unit: 1755

Examiner: Not Yet Assigned

Confirmation No.: 1905

Customer No.: 27,623

Attorney Docket No.: 341.6910USQ

Mail Stop DD  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT**

Dear Sir:

In accordance with applicant's duty of disclosure under 37 C.F.R. §1.56, please find attached hereto form PTO-1449 listing information which may be material to the patentability of this application, filed concurrently herewith. This Information Disclosure Statement is being filed:

- \_\_\_\_ Within three (3) months of the filing date of the national application;
- \_\_\_\_ Within three (3) months of the date of entry of the national stage as set forth in 37 C.F.R. §1.491 in an international application;
- XXX** Before the mailing date of a first Office Action on the merits;

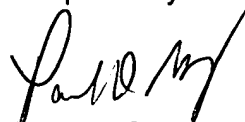
- \_\_\_\_\_ After the filing date or date of first Office Action, but before the mailing date of a final action under 37 C.F.R. §1.113, provided that this occurs prior to the issuance of a Notice of Allowance and provided that this I.D.S. is accompanied by either a certification as specified in 37 C.F.R. §1.97(e) or the fee set forth in 37 C.F.R. §1.17(p);
- \_\_\_\_\_ After the filing date or date of first Office Action, but before the mailing date of a Notice of Allowance under 37 C.F.R. §1.311, provided that this occurs prior to the final action and provided that this I.D.S. is accompanied by either a certification as specified in 37 C.F.R. §1.97(e) or the fee set forth in 37 C.F.R. §1.17(p);
- \_\_\_\_\_ After the mailing date of a final action under 37 C.F.R. §1.113, provided that this occurs prior to the issuance of a Notice of Allowance and provided that this I.D.S. is accompanied by a certification as specified in 37 C.F.R. §1.97(e) and the fee set forth in 37 C.F.R. §1.17(p); and
- \_\_\_\_\_ After the mailing date of a Notice of Allowance under 37 C.F.R. §1.311, provided that this occurs prior to or subsequent to the payment of the Issue Fee and provided that this I.D.S. is accompanied by a certification as specified in 37 C.F.R. §1.97(e) and the fee set forth in 37 C.F.R. §1.17(p).
- \_\_\_\_\_ Filing with RCE Under 37 CFR 1.114.

Cited in the attached PTO-1449 is U.S. Patent No. 6,514,864.

Pursuant to the waiving of the requirement of 37 CFR 1.98 (a)(2)(i), copy of the U.S. reference is not enclosed.

It should be understood that attention has been called to the references that have been deemed to be pertinent to the claimed present invention. In concluding what was pertinent, the criteria employed was considered most appropriate in light of the invention shown in the present application. However, the Examiner or others may deem some other criteria to be just as appropriate or more appropriate. Therefore, the Examiner is respectfully urged to review the listed references and to make the usual careful independent search for other prior art that may be pertinent.

Respectfully submitted,



Date: April 26, 2004

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Not Yet Assigned

(Use several sheets if necessary)

EXAMINER  
INITIAL

DOCUMENT  
NUMBER

DATE \_\_\_\_\_

NAME \_\_\_\_\_

**CLASS**

SUBCLASS

FILING DATE IF  
APPROPRIATE

6,514,864 B2

2/4/03

Nakabayashi et al.

438

692

## FOREIGN PATENT DOCUMENTS

DOCUMENT  
NUMBER

DATE \_\_\_\_\_

COUNTRY

CLASS

SUBCLASS

### Translation

YES

**NO**

**OTHER DOCUMENTS** (including Author, Title, Date, Pertinent Pages, Etc.)

**EXAMINER**

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP §609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.